## Lecture 1: Introduction to the Atlas Program

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Nankai Summer School in Representation Theory and Harmonic Analysis

June, 2008

# The Unitary Dual



 $\label{eq:problem:problem:problem:problem:problem:problem:problem: Describe the set of equivalences classes of irreducible unitary representations of a general Lie group G.$ 

#### Why?

- a basis for abstract harmonic analysis
- equivalent to a classification of elementary quantum mechanical systems possessing the symmetry group G:
  - in particular, elementary particles the fundamental building blocks of nature

## History

#### **Major Milestones**

- 1930's : case of compact Lie groups solved (Cartan)
- 1950's: initial foray into non-compact groups (Bargmann, Mackey)
- 1960's : nilpotent case solved (Kirillov)
- 1970's : type I solvable case solved (Kostant-Auslander)
- 1980's: case of general algebraic group reduced to that of reductive Lie groups (Duflo)

#### The Modern Framework

G : real reductive group (the last holdout)

 $\widehat{G}_u$  : the unitary dual of G, the set of equiv classes of unitary irr reps

 $\widehat{G}_{adm}$ : the set of equiv classes of irr *admissible* reps

 $\widehat{G}_{adm}$  is a "smoother", well understood set containing  $\widehat{G}_{u}$ 

The unitary dual problem reduces to:

**Problem:** which of the admissible reps of a reductive group G are unitary?

## The Atlas Approach:

For a fixed real reductive group G, the enumeration of the admissible reps that are unitary can be formally reduced to a finite computation.

Jeff Adams (circa 2001): Let's compute  $\widehat{G}_{adm}$ 

- Exceptional Lie groups completely
- Classical Lie groups far enough to develop inductive methods

#### Plan of Action:

- Step 1: Develop a software platform capable of handling the basic structure theory of an arbitrary real reductive group (F. du Cloux  $\sim$  2003).
- Step 2: Develop software capable of computing the character theory of the admissible dual of an arbitrary real reductive group. (F du Cloux  $\sim$  2005,  $E_8$  2007)
- Step 3: Develop highly efficient algorithms for testing for unitarity (the next white whale)

#### An Unforeseen Motif:

atlas is teaching us representation theory!

## Why?

- Developing efficient algorithms requires one to strip a theory down to its bare skeleton. (Da Vinci metaphor) (snide remark: fashion accessories are the first to go)
- new tools to probe reductive Lie groups
- data! (Brahe-Kepler paradigm)

#### Outline of Lecture Series

- Lecture 1: atlas Basics
- Lecture 2: atlas Examples
- Lecture 3: A Combinatorial Parameterization of Nilpotent Orbits
- Lecture 4: LS Cells, Twisted Induction and Duality
- Lecture 5: Admissible Representations in the Atlas Framework
- Lecture 6: Admissible Representations, HC Cells, and Nilpotent Orbits
- Lecture 7: Admissible Representations, HC Cells, and Primitive Ideals

### Obtaining atlas

Atlas is open source software that is free and readily available from the "downloads" page

http://atlas.math.umd.edu/software/download

on the Atlas for Lie Groups and Representations website

http://atlas.math.umd.edu

Linux users can have the most recent release of atlas up and running in almost no time at all. Simply download the file

http://atlas.math.umd.edu/software/download/atlas\_0.3.exe

into a suitable directory (e.g HOME/atlas) and execute atlas\_0.3.exe from that directory.

Windows users can also download an "executable" version for their platform:

http://atlas.math.umd.edu/software/download/atlas4win\_0.3.zip

Users of other operating systems (e.g. Mac users, Sun users) can download and extract the source code tarball

http://atlas.math.umd.edu/software/download/atlas\_0.3.tgz and then compile the source code themselves.

(If you have a recent version of g++ as well as the development versions of the readline and ncurses libraries this compilation process is also simple as entering the make command in the source code directory.)

## Starting and Exiting atlas

Once atlas has been downloaded and installed, a user can startup atlas and be greeted with the following message:

This is the Atlas of Reductive Lie Groups Software Package version 0.3. Build date: May 11 2008 at 09:04:58.

Enter 'help'' if you need assistance.

empty: qq

#### Atlas Modes

Before re-entering atlas, it will be most helpful to first have a general idea of atlas's various *modes* of operation.

atlas modes are certain command environments which are attuned to the level of specificity of a particular underlying representation theoretical context.

There are five modes:

mode	context	choices made	specificity
empty	none	none	none
help	none	none	
main	Lie algebra, cpx red group $G$	roots,coroots, (inner class)	low
real	real reductive Lie group $G_{\mathbb{R}}$	above plus a real form	1
block	block of irr adm reps	above plus a dual real form	highest

Entering a "higher" mode command in a "lower" mode automatically takes you to that mode (you will be prompted for the additional data needed to initialize the higher mode). Entering q will let you descend to back to a lower mode.

### empty mode

When atlas starts it immediately enters the empty mode. This is indicated by the empty: prompt.

In the empty mode no data structures have been initialized and atlas is simply waiting to be told what do.

The only commands that are available in this mode are

help: enter help mode

type: used to select the Lie algebra, isogeny class, and inner class of the group to be studied.

#### help mode

Entering help moves you to help mode.

In help mode, you can view a list of available commands by entering "?".

Or, enter a command name to get a brief explanation of what a command does and how its output is formatted.

Enter "q" to exit help mode

#### main mode

After entering the type command and entering the data necessary to specify the inner class of real forms one arrive in the main mode (this will be indicated by the main: prompt).

At this point atlas has already done some computations is more than happy to answer questions about root systems and the possible real forms.

A brief listing of the commands that are available in the main mode:

- cmatrix : prints the Cartan matrix
- roots : outputs the roots in the lattice basis
- posroots : outputs the positive roots in the lattice basis
- coroots : outputs the coroots in the lattice basis
- poscoroots :
- simpleroots : outputs the simple roots in the lattice basis
- simplecoroots:

- roots\_rootbasis : outputs the roots in terms of a basis of simple roots
- posroots\_rootbasis: basis of simple roots
- coroots\_rootbasis: outputs the coroots in terms of a basis of simple roots
- poscoroots\_rootbasis: outputs the positive coroots in terms of a basis of simple roots
- showrealforms : outputs the (weak) real forms in the inner class
- showdualforms : outputs the (weak) real forms in the dual group
- blocksizes : outputs the matrix of blocksizes
- realform : sets the real form for the group and moves you to real mode

#### real mode

Once one enters the real mode the following commands are available:

- cartan: lists the conjugacy classes of Cartan subgroups of the real form and their associated characteristics
- components : describes the component group of the real group
- realweyl: outputs the structure of the real Weyl group (for a specified class of Cartan subsubgroups)
- kgb : outputs the orbits of K on G/B
- dualkgb : outputs the KGB data for a dual real form
- gradings: outputs information about the grading of the imaginary root system (for a specified class of Cartan subgroups)
- strongreal : outputs information about the strong real forms

#### block mode

The block mode corresponds to a further specialization on the atlas environment in which in addition to the specification of a real group, a real form of dual complex group is also specified.

This is the mode in which information gleamed from KLV (Kahzdan-Lusztig-Vogan) computations can be obtained.

One enters block mode (e.g. from real mode) by entering any one of the following commands

- block : lists all the representations in a block and some of their invariants
- blockd : similar to block command with alternative output format
- blockorder: prints the covering relations of the Bruhat order on a block
- blockstablizer : prints the real Weyl group of a block
- $\bullet$  blocku : prints the unitary representations in the block at infinitesimal character  $\rho$

- blockwrite: writes the block information to a file
- extract-cells: reads the cell and KLV binary files and prints the W-cells information
- extract-graph: reads the cell and KLV binary files and prints the W-graph information
- klwrite: writes the KLV polynomials to a disk in binary format
- klbasis : prints the KLV basis for the Hecke module
- kllist : prints the list of distinct KLV polynomials
- wcells : prints the KLV cells for the block
- wgraph : prints the W-graph for the block

## atlas Basics: cooking up a real reductive group

#### Basic Recipe:

Step 1: Start with a product of simple, simply connected, complex groups and complex torii.

$$\widetilde{G} = G_1 \times G_2 \times \cdots \times G_k \times (\mathbb{C}^{\times})^{\ell}$$

Step 2: mod out by a finite subgroup  $\Gamma$  of the center of  $\widetilde{G}$ 

Step 3: choose a real form of  $\widetilde{G}/\Gamma$ 

#### **Examples:**

- $SL(2,\mathbb{C}) \to SL(2,\mathbb{C}/\{I,-I\} \sim PSL(2,\mathbb{C}) \to SO(2,1)$
- $\bullet \ \textit{SL}(2,\mathbb{C}) \times \mathbb{C}^{\times} \to \textit{SL}(2,\mathbb{C}) \times \mathbb{C}^{\times} / \left\{ (\textit{I},1), (-\textit{I},-1) \right\} \to \textit{GL}(2,\mathbb{R})$

## Serving up a real reductive group in atlas

- Step 1: Specification of Lie type
- Step 2: Specification of isogeny type
- Step 3: Selection of an inner class
- Step 4: Selection of a real form

## Step 1: Specification of Lie type

```
This is the Atlas of Reductive Lie Groups Software Package version 0.2.6.2. Build date: May 18 2007 at 15:46:34. Enter 'help' if you need assistance.
```

empty:

## Step 1: Specification of Lie type

```
This is the Atlas of Reductive Lie Groups Software Package version 0.2.6.2. Build date: May 18 2007 at 15:46:34. Enter 'help' if you need assistance. empty: type
```

## Explanation

At this point atlas is asking for the Cartan type of the complexified Lie algebra  $\mathfrak g$  of the group to be constructed.

The user should enter a sequence of expressions of the form  ${\tt Xn_i}$  separated by periods "."

Each "term"  $Xn_i$  indicates g has a simple factor of Cartan type X and rank  $n_i$ ;

Well, except for torus factors which are indicated by expressions of the form  $Tn_i$ .

#### Example:

To constuct a group G such that

$$Lie(G) = \mathfrak{f}_4 \oplus \mathfrak{so}(13) \oplus \mathbb{C} \oplus \mathfrak{sp}(4) \oplus \mathfrak{so}(8)$$

Enter

F4.B6.T1.C2.D4

at the type prompt.

## Step 2: Specification of Isogeny type

The first step tells atlas the simply connected covering group  $\widetilde{G}$  of the complex linear group G to be constructed.

The next step is to specify for atlas a finite subgroup  $\Gamma$  of the center of G such that

$$G = \widetilde{G}/\Gamma$$

Suppose you have just entered A4.T1.T1

Immediately after entering your choice, atlas will say something like

elements of finite order in the center of the simply connected group: Z/5.Q/Z.Q/Z

enter kernel generators, one per line (ad for adjoint, ? to abort):

### Explanation

The desired group G must be a quotient of the simply connected group  $\widetilde{G}$  by some finite subgroup  $\Gamma$  of the center  $\widetilde{Z}$  of  $\widetilde{G}$ .

To specify the subgroup  $\Gamma$  you must give atlas a list of its generators.

This you must do (barring any short cuts to be mentioned below) by specifying the generators of the "components" of  $\Gamma$  lying in each simple factor of  $\widetilde{G}$ .

# finite central subgroups of basic Cartan types

G	$\Gamma_{adj}$	possible generators
$A_n$	$\mathbb{Z}_{n+1}$	$\frac{0}{n+1}$ , $\frac{1}{n+1}$ ,, $\frac{n}{n+1}$
$B_n$	$\mathbb{Z}_2$	0 1
$C_n$	$Z_2$	5, <del>5</del> 0, 1 0, 7
$D_n$ , $n$ odd	$\mathbb{Z}_2$	$\frac{5}{2}, \frac{1}{2}$
$D_n$ , $n$ even	$\mathbb{Z}_2\times\mathbb{Z}_2$	$\left\{ \frac{0}{2}, \frac{1}{2} \right\} \times \left\{ \frac{0}{2}, \frac{1}{2} \right\}$
$E_6$	$\mathbb{Z}_3$	$\begin{array}{cccccccccccccccccccccccccccccccccccc$
E <sub>7</sub>	$\mathbb{Z}_2$	$\frac{0}{2}, \frac{1}{2}$
$E_8$	1	
$F_4$	1	
$G_2$	1	

 $(\mathbb{Z}_n \equiv \mathbb{Z}/n\mathbb{Z}$  is the cyclic group of order n.)

# Specifying Finite Subgroups of Tori

When  $\widetilde{G}$  has a factor of type  $T_1$ , one must specify the generators of a finite subgroup of  $\mathbb{C}^{\times}$ .

 $\left\{\text{elements of finite order in }\mathbb{C}^{\times}\right\} \sim \mathbb{Q}/\mathbb{Z}$ 

- $\rightarrow$  generators of  $\Gamma \subset T$   $\sim p \backslash q \in \mathbb{Q}$
- $\rightarrow$   $\Gamma$  is specified by giving atlas a list of fractions; e.g. 1/3,1/5,1/8

#### Shortcuts:

- to utilize the simply connected group, simply hit RETURN at the prompt for kernel generators (or enter sc)
- to utilize the adjoint group, simply enter ad at the prompt for kernel generators.

## Step 3: Specifying an inner class

Digression: Two methods of specifying a real form:

- method 1:
  - $\bullet$  specify an anti-holomorphic involution  $\gamma$  of  ${\it G}$  and set

$$G_{\mathbb{R}}=G^{\gamma}\equiv \mathsf{set}\;\mathsf{of}\;\gamma\;\mathsf{fixed}\;\mathsf{points}$$

- method 2:
  - ullet fix a anti-holomorphic involution  $\gamma_o$  corresponding to the compact real form of G
  - specify a **holomorphic** involution  $\theta$  such that  $\theta \gamma_o = \gamma_o \theta$ .
  - Set

$$G_{\mathbb{R}}=G^{ heta\gamma_o}$$

atlas uses the second method  ${\rm complex \ group} \ {\cal K} = {\it G}^{\theta} \ {\rm is \ particularly \ important}$ 

## Inner Classes, cont'd

Consider the following exact sequence of group homomorphisms

$$1 \rightarrow \mathit{Int}(G) \longrightarrow \mathit{Aut}(G) \longrightarrow \mathit{Out}(G) \rightarrow 1$$

 $\Rightarrow$  every involutive automorphism  $\theta$  of G has a unique image in Out(G).

#### Definition

The image of  $\theta$  in Out(G) is called the *inner class* of  $\theta$ .

## Inner Classes, cont'd

Suppose is G is simple and simply connected, then the Out(G) is isomorphic the automorphism group of the Dynkin diagram of G.

**Example:**  $Out(D_5) = \mathbb{Z}_2$ 

$$Out(D_5)$$
 ~  $\bullet$  \_  $\bullet$  \_  $\bullet$ 

Atlas understands the inner class as being one

- c: compact inner class
- e: equal rank inner class (same as c)
- s: split inner class
- u: unequal rank inner class (types  $A_n$ ,  $D_n$ , and  $E_6$ )
- C: complex inner class (for an identical pair of entries)

## Specifying an inner class

It often happens that some of these Atlas inner class specifications coincide.

 $c \sim e \sim s$  in types  $B_n$ ,  $C_n$ ,  $E_7$ ,  $E_8$ ,  $F_4$  and  $G_2$ 

 $\Leftarrow Out(G)$  is trivial.

**Remark:** In fact, except for  $D_{2n}$  where  $c = s \neq u$ , and the complex forms C, the inner classes are **either** s or c.

In the nonsimple cases, one specifies the inner class of G by choosing c, e, s, u for each simple or  $T_1$  factor, or C for each pair of identical simple or  $T_1$  factors.

N.B. when G is not simply connected or adjoint, involutions in the simple factors are not always compatible (i.e., do not factor to G.

In such a case, atlas will complain and ask you to make another choice.

## Step 4: Specifying the real form within an inner class

After fixing

Step 1: Lie type

Step 2: an isogeny type

Step 3: an inner class of real forms

we end up in main mode.

From here we can finally set the real form of the group which want atlas to study. This is done via the realform command. Once the realform command is entered, atlas will present you with a list of the possibilities (actually a list of the real Lie algebras of the possible real forms).

Cartan	compact	split	unequal rank
type	inner class	inner class	inner class
A4	su(5), su(4, 1), su(3, 2)	sl(5,R)	(same as split)
B4	so(9), so(8, 1), so(7, 2), so(6, 3), so(4, 5)	(same as compact)	N/A
C4	sp(4), sp(3, 1), sp(2, 2), sp(8, R)	(same as compact)	N/A
D4	so(8), so(6, 2), so*(8)[0, 1], so(8)*[1, 0], so(4, 4)	(same as compact)	so(7,1), so(5,3)

Note that there are two isomorphic real forms of  $D_4$ .

The reason why there are two copies of  $\mathfrak{so}^*$  (8) is that, in fact, there are two distinct, non-conjugate isomorphic subgroups of SO(8,C) (they are related only by an outer automorphism of  $SO(8,\mathbb{C})$ ).

# real forms of the exceptional Lie algebras

Cartan	compact	split	unequal rank
type	inner class	inner class	inner class
E6	e6, e6(so(10).u(1)), e6(su(6).su(2))	e6(f4), e6(R)	(same as split)
E7	e7, e7(e6.u(1)), e7(so(12).su(2)), e7(R)	(same as compact)	N/A
E8	e8, e8(e7.su(2)), e8(R)	(same as compact)	N/A
F4	f4, f4(so(9)), f4(R)	(same as compact)	N/A
G2	g2, g2(R)	(same as compact)	N/A

## Simple Examples

We begin with perhaps the simplest family of examples, tori.

We start by entering the type command and telling atlas to begin considering a complex torus  ${\cal T}$ 

```
empty: type
Lie type: T1
elements of finite order in the center of the simply connected group:
Q/Z
enter kernel generators, one per line
(ad for adjoint, ? to abort):
```

atlas pauses here as it is waiting for us to specify (if any) a list of the generators of the kernel of the homomorphism

$$\mathbb{C}^{\times} \to T$$

Generators of finite subgroups of  $\mathbb{C}^{\times} \approx$  elements of  $\mathbb{Q}/\mathbb{Z}$ 

Specify generators of finite subgroup  $\Gamma \in \mathbb{C}^{\times}$  as fractions: e.g.,

```
empty: type
Lie type: T1
elements of finite order in the center of the simply connected group:
Q/Z
enter kernel generators, one per line
(ad for adjoint, ? to abort):
1/3
3/5
```

atlas then ask for the inner class of real forms with the prompt

```
empty: type
Lie type: T1
elements of finite order in the center of the simply connected group:
Q/Z
enter kernel generators, one per line
(ad for adjoint, ? to abort):
1/3
3/5
enter inner class(es):
```

Your options here are to enter c or s. If you enter c, you are telling atlas that you wish to consider a compact real torus; i.e., some quotient of the circle group  $S^1$  by a finite subgroup. If you enter s, you are telling atlas that you wish to consider a split real torus; i.e. a quotient of  $\mathbb{R}^{\times}$  by a finite subgroup.

#### complex torus

There is another basic type of real tori, those isomorphic to a quotient of  $\mathbb{C}^{\times}$  regarded as a 2-dimensional real Lie group.

Such a torus is setup in atlas by instructing it to consider a quotient of the diagonal subgroup of  $\mathbb{C}^{\times} \times \mathbb{C}^{\times}$ . This done as follows

```
main: type
Lie type: T1.T1
elements of finite order in the center of the simply connected group:
Q/Z.Q/Z
enter kernel generators, one per line
(ad for adjoint, ? to abort):
1/3,1/3
3/5,3/5
enter inner class(es): C
```

We entered the kernel generators in pairs, with identical rational numbers separated by commas.

This is necessary to ensure that the corresponding finite subgroup of  $\mathbb{C}^\times \times \mathbb{C}^\times$  is a subgroup of the diagonal subgroup  $\mathbb{C}^\times$ .

## more general tori

One constructs more general real tori as products of these three basic types. Thus, for example, a 4-dimensional torus isomorphic to  $S^1 \times \mathbb{C}^\times \times \mathbb{R}^\times$  could be setup as follows

```
main: type
Lie type: T1.T1.T1.T1
elements of finite order in the center of the simply connected group:
Q/Z.Q/Z.Q/Z.Q/Z
enter kernel generators, one per line
(ad for adjoint, ? to abort):

enter inner class(es): cCs
main: showrealforms
(weak) real forms are:
0: u(1).gl(1,C).gl(1,R)
```

## Setting up Simple Lie Groups

The steps for setting up atlas for studying simple Lie groups is very similar.

Example: setting up atlas to study  $SL(2,\mathbb{R})$ .

```
empty: type
Lie type: A1
elements of finite order in the center of the simply connected group:
Z/2
enter kernel generators, one per line
(ad for adjoint, ? to abort):
sc
enter inner class(es): s
main: realform
(weak) real forms are:
0: su(2)
1: sl(2,R)
enter your choice: 1
real:
```

### Explanation

- the Lie type is given as A1 since  $SL(2,\mathbb{C})$  is of Cartan type A and rank 1.
- we have specified the kernel generators as sc. This is telling atlas that the real group we are interested in is a real form of the simply connected (sc) complex group of Lie type A1.
  - N.B., the real group we end up with,  $SL(2,\mathbb{R})$  is not simply connected.
- while have have told atlas to choose the split inner class (s), we could achieved the same result by specifying the compact inner class (c).
  - This because  $SL(2,\mathbb{C})$  only has one inner class and so the compact and split inner classes coincide.

#### Example: SO(5,2)

```
main: type
Lie type: B3
elements of finite order in the center of the simply connected group:
\mathbb{Z}/2
enter kernel generators, one per line
(ad for adjoint, ? to abort):
1/2
enter inner class(es): s
main: realform
(weak) real forms are:
0: so(7)
1: so(6,1)
2: so(5.2)
3: so(4,3)
enter your choice: 2
real: components
```

component group is  $(Z/2)^1$ 

#### Explanation:

- Since the complexified Lie algebra of SO (5, 2) is of Cartan type B and rank 3, we
  instructed specified B3 as its Lie type.
- The complex group for which SO(5,2) appears as the set of real points has non-trivial isogeny and so we specified 1/2 as its kernel generators. This corresponds to the isogeny class of the adjoint group of  $\mathfrak{so}(7,\mathbb{C})$ . We could also have entered ad in response to the request for kernel generators. If we had instead wanted a real form of the simply connected complex group with Lie algebra  $\mathfrak{so}(7,\mathbb{C})$  (i.e.  $Spin(7,\mathbb{C})$ ), then we would have entered 0/2, sc, or simply simply hit Enter in response to the request for kernel generators.
- At the end of this example, we entered the real mode command components to demonstrate that atlas understands that SO(5,2) is not connected.

# Example: $GL(2,\mathbb{R})$

```
empty: type
Lie type: A1.T1
elements of finite order in the center of the simply connected group:
Z/2.Q/Z
enter kernel generators, one per line
(ad for adjoint, ? to abort):
1/2,1/2
enter inner class(es): ss
main: realform
(weak) real forms are:
0: su(2).gl(1,R)
1: sl(2,R).gl(1,R)
enter your choice: 1
real:
```

N.B., we delimit the various factors of the complex group with *periods*, use *commas* to delimit the "components" of the kernel generators in each factor, and then use *no delimiter* at all when specifying the inner class to be taken on each factor.